

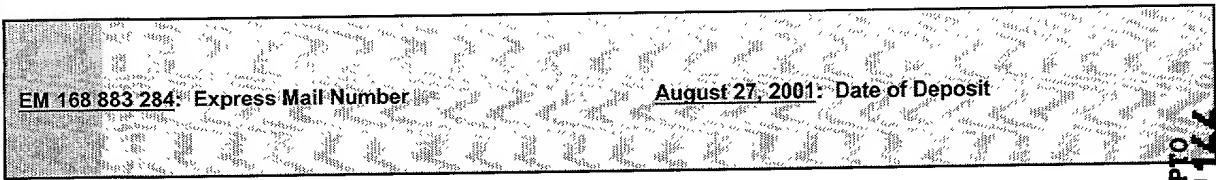
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08/27/01
JC962 U.S. PTO

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Attorney Docket P1105R1D1C1
PATENT



JC973 U.S. PTO
09/06/01
08/27/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner of Patents
Washington, D.C. 20231

NON-PROVISIONAL APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)

Transmitted herewith for filing is a non-provisional patent application:

Inventor(s) (or Application "Identifier"):

**Gregory S. Blank
Daljit S. Narindray
Gerardo A. Zapata**

Title: **PROTEIN RECOVERY**

1. Type of Application

- ☐ This application is for an original, non-provisional application.
- ☐ This is a non-provisional application claiming priority to provisional application no. __, filed __, the entire disclosure of which is hereby incorporated by reference.
- ☒ This is a ☐ continuation-in-part ☒ continuation ☐ divisional application claiming priority under 35 USC § 120 to co-pending application Serial Number 09/460,587, filed December 14, 1999, which is a divisional of application Serial Number 09/097,309, filed June 12, 1998, now U.S. Patent No. 6,121,428, which claims the benefit under 35 USC § 119(e) to provisional application number 60/050,951 filed June 13, 1997, the disclosures of which are hereby incorporated by reference.

2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Non-provisional)

- 34 pages of specification
- 2 pages of claims
- 1 page(s) of abstract
- 8 sheet(s) of drawings
- ☒ formal ☐ informal

08/30/2001 08:00:00 070530 00000001
Date Rec'd: 00000013 Date: 070530 00000001
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3. Declaration or Oath

(for new and CIP applications; also for Cont./Div. where inventor(s) are being added)
___ An executed declaration of the inventor(s) ☐ is enclosed ☐ will follow.

(for Cont./Div. where inventorship is the same or inventor(s) being deleted)
x A copy of the executed declaration/oath filed in the prior application is enclosed (37 CFR 1.63(d)).

(for Cont./Div. where inventor(s) being deleted)
___ A signed statement is attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).

4. Assignment

(for new and CIP applications)
___ An Assignment of the invention to GENENTECH, INC. ☐ is enclosed with attached Recordation Form Cover Sheet ☐ will follow.

(for cont./div.)
x The prior application is assigned of record to Genentech, Inc.

5. Amendments (for continuation and divisional applications)

x Cancel in this application original claims 1-12, 14-19 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

x A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

Relate Back -- 35 U.S.C. 120 or 35 U.S.C. 119

___ Amend the specification by inserting before the first line the sentence:

--This is a

___ non-provisional application
___ continuation
___ divisional
___ continuation-in-part

of co-pending application(s)

___ Serial No. _____ filed on _____, which application(s) is(are) incorporated herein by reference and to which application(s) priority is claimed under 35 USC §120. --
___ International Application _ filed on _ which designated the U.S., which application(s) is(are)

incorporated herein by reference and to which application(s) priority is claimed under 35 USC §120.--

_____ provisional application No. _ filed __, the entire disclosure of which is hereby incorporated by reference and to which application(s) priority is claimed under 35 USC §119.--.

6. Fee Calculation (37 CFR 1.16)

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$710.00
Total Claims	1	- 20 =	0	X \$18.00	\$0.00
Independent Claims	1	- 3 =	0	X \$80.00	\$0.00
_____ Multiple dependent claim(s), if any				+ \$270.00	\$0.00
Filing Fee Calculation					\$710.00

7. Method of Payment of Fees

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$710.00. **A duplicate copy of this transmittal is enclosed.**

8. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

9. Additional Papers Enclosed

- ☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
- ☒ Submission of "Sequence Listing" and Letter and Request to Use Computer Readable Sequence.
- ☐ A new Power of Attorney or authorization of agent.
- ☐ Other:

10. Maintenance of Copendency of Prior Application (for continuation and divisional applications)

*[This item **must** be completed and the necessary papers filed in the prior application if the period set in the prior application has run]*

- _____ A petition, fee and/or response has been filed to extend the term in the pending prior application until
- _____ A copy of the petition for extension of time in the **prior** application is attached.

11. Correspondence Address:

X Address all future communications to:

Attn: Lee K. Tan, Ph.D.

Respectfully submitted,
GENENTECH, INC.

Date: August 27, 2001

By: Lee Tan
Lee K. Tan, Ph.D.
Reg. No. 39,447
Telephone No. (650) 225-4462



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PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Gregory S. Blank et al. Serial No.: To Be Assigned Filed: August 27, 2001 For: PROTEIN RECOVERY	Group Art Unit: To Be Assigned Examiner: To Be Assigned Express Mail Label No. EM 168 883 284 US
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Letter and REQUEST TO USE COMPUTER-READABLE SEQUENCE LISTINGUNDER 37 CFR §1.821(e)

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants respectfully request that the compliant computer-readable Sequence Listing filed in application Serial No. 09/097,309 be used as the computer-readable Sequence Listing for the present, above-identified application.

The paper copy of the Sequence Listing filed in the present application is identical to the computer-readable copy of the Sequence Listing filed in the application Serial No. 09/097,309.

Respectfully submitted,
GENENTECH, INC.

Date: August 27, 2001

By: Lee Tan
Lee K. Tan, Ph.D.
Reg. No. 39,447
Telephone No. (650) 225-4462



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PATENT TRADEMARK OFFICE